# Approximation Algorithms for Data Placement on Parallel Disks

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26th March 2003

### **The Problem**

### • Given:

- $\star$  M data items.  $i^{th}$  data item has size  $s_i$ , demand  $l_i$ .
- $\star$  N disks. Each disk has storage capacity K and load capacity L.
- **Goal**: Find a placement of data items on disks and an assignment of clients to disks to maximize total number of clients served.

## Example (k=2), Optimal Assignment



Item #1: Size = 1, Load = 100 Item #2: Size = 1, Load = 30 Item #3: Size = 1, Load = 30

Fraction Packed = 1

# Example (k=2), Non-Optimal Assignment



Item #1: Size = 1, Load = 100 Item #2: Size = 1, Load = 30 Item #3: Size = 1, Load = 30

Fraction Packed = 7 / 8

## **Related Work**

- Class constrained knapsack problem (unit size items) (Shachnai and Tamir)
- Algorithm with tight bound for unit-size items (Golubchik, Khanna, Khuller, Thurimella, Zhu)
- NP-hard for any fixed  $k \ge 2$  (Golubchik, Khanna, Khuller, Thurimella, Zhu)

## **Our Results (Kashyap and Khuller)**

- PTAS for arbitrary  $s_i \in \{1, \ldots, \Delta\}$ . Constant  $\Delta$ .
- Algorithm with tight bound, when  $s_i \in \{1, 2\}$ . Cannot guarantee to do better than  $(1 \frac{1}{(1 + \sqrt{\lfloor k/2 \rfloor})^2})$  in this case.

# Assumptions

• 
$$\sum_{i=1}^{M} l_i \leq N \cdot L$$

• 
$$\sum_{i=1}^{M} s_i \leq N \cdot k$$

# Sliding Window Algorithm (unit size items), k=4



## **Solution structure(unit size items)**

**Theorem 1.** It is always possible to pack a  $(1 - \frac{1}{(1+\sqrt{k})^2})$ -fraction of items for any instance.

## The bound is tight!

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# The single-list SW algorithm $(s_i \in \{1, \dots, \Delta\})$

$$\rho_i = l_i / s_i$$

Parameters: L = 50, N = 4, k = 15,  $\Delta$  = 4

Input Instance:





Remaining Items List: 1, 1, 1, 1, 1, 1, 1, 1, 1, 5, 5, 5, 5, 5, 5, 30, 30, 50, 50 4, 4, 3, 3, 3, 3, 3, 3, 2, 2, 4, 3, 3, 2, 2, 2, 4, 4, 3, 3

| <b>Sel01</b> | = 4, 4, 3, 3, 3       | (size = 17, load = 5)  |
|--------------|-----------------------|------------------------|
| Sel02        | = 4, 3, 3, 3, 3       | (size = 16, load = 5)  |
| Sel03        | = 4, 3, 3, 3, 3, 3    | (size = 19, load = 6)  |
| Sel04        | = 3, 3, 3, 3, 3, 3    | (size = 18, load = 6)  |
| Sel05        | = 3, 3, 3, 3, 3, 2    | (size = 17, load = 6)  |
| Sel06        | = 3, 3, 3, 3, 3, 2, 2 | (size = 19, load = 7)  |
| Sel07        | = 3, 3, 3, 2, 2, 4    | (size = 17, load = 10) |
| Sel08        | = 3, 3, 2, 2, 4, 3    | (size = 17, load = 14) |
| Sel09        | = 3, 2, 2, 4, 3, 3    | (size = 17, load = 18) |
| Sel10        | = 3, 2, 2, 4, 3, 3, 2 | (size = 19, load = 23) |
| Sel11        | = 2, 2, 4, 3, 3, 2, 2 | (size = 18, load = 27) |
| Sel12        | = 2, 4, 3, 3, 2, 2, 2 | (size = 18, load = 31) |
| Sel13        | = 3, 3, 2, 2, 2, 4    | (size = 16, load = 55) |
|              |                       |                        |

Remaining Items List: 1, 1, 1, 1, 1, 1, 1, 1, 1, 5, 5, 5, 5, 5, 5, 30, 30, 50, 50 4, 4, 3, 3, 3, 3, 3, 3, 2, 2, 4, 3, 3, 2, 2, 2, 4, 4, 3, 3

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|              |                       |                                       |

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|       |                       | 1                      |
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|       |                       |                        |

Remaining Items List: 1, 1, 1, 1, 1, 1, 1, 1, 1, 5, **5, 5, 5, 5, 30**, 30, 50, 50 4, 4, 3, 3, 3, 3, 3, 3, 2, 2, 4, **3, 3, 2, 2, 2, 4**, 4, 3, 3

|       |                       | 1                      |
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| Sel12 | = 2, 4, 3, 3, 2, 2, 2 | (size = 18, load = 31) |
| Sel13 | = 3, 3, 2, 2, 2, 4    | (size = 16, load = 55) |
|       |                       |                        |

Disk #1, Selection 5, 5, 5, 5, 5, 25 3, 3, 2, 2, 2, 4 (size = 16, load = 50) Remaining Items List: 1, 1, 1, 1, 1, 1, 1, 1, 1, 5, **5**, 30, 50, 50 4, 4, 3, 3, 3, 3, 3, 3, 2, 2, 4, **4**, 4, 3, 3

Disk #2, Selection 1, 1, 5, 5, 30, 8 2, 2, 4, 4, 4, 3 (size = 19, load = 50) Remaining Items List: 1, 1, 1, 1, 1, 1, 1, 42, 50 4, 4, 3, 3, 3, 3, 3, 3, 3, 3, 3

Disk #3, Selection 1, 1, 1, 1, 42, 4 (size = 18, load = 50) 3, 3, 3, 3, 3, 3 Remaining Items List: 1, 1, 1, 1, 46 4, 4, 3, 3, 3

Disk #4, Selection 1, 1, 1, 1, 46 (size = 17, load = 50) 4, 4, 3, 3, 3 Remaining Items List: (empty)

Disk #4, Selection 1, 1, 1, 1, 46 4, 4, 3, 3, 3 (size = 13, load = 49)

Remaining Items List: 1, 1, 1, 1, 5 4, 3, 2, 2, 3

Fraction Packed = 0.955

### End Phase 1



### End Phase 1

- U: Unassigned load
- S: Assigned load
- $N_l$ : Load saturated disks
- $N_s\!\!:$  non Load saturated disks

• 
$$S \ge L \times N_l + c \times N_s \times L$$

• 
$$U \le (1-c) \times N_s \times L$$

• 
$$U \leq \frac{2\Delta N_L cL}{k}$$

### Single-List SW algrotihm

#### **Properties**:

- Can guarantee to pack a  $1 \frac{1}{\left(1 + \sqrt{\frac{k}{2\Delta}}\right)^2}$  fraction of load by the end of phase-1.
- Lose a  $\frac{k-\Delta}{k+\Delta}$  fraction in phase-2.

• Always packs a 
$$\frac{k-\Delta}{k+\Delta} \left( 1 - \frac{1}{\left(1+\sqrt{\frac{k}{2\Delta}}\right)^2} \right)$$
 fraction of load.

# The Multi-List SW algorithm ( $s_i \in \{1, 2\}$ )

### Main problem: Fragmentation effect.





Algorithm packs a  $(1 - \frac{1}{(1 + \sqrt{\lfloor k/2 \rfloor})^2})$ -fraction of items. Tight bound.

- Group size-1 items into groups of size-2. (Will have a size-2 group with a single size-1 item if  $m_1$  is odd)
- Now we can use unit size-SW to solve the problem.

• Maintains three lists:



- L<sub>1</sub> is the list of the first m<sub>1</sub>−N size-1 items (arranged in non-decreasing order of load). If m<sub>1</sub> < N, then L1 = Ø.</li>
- $L_2$  is the list of size-2 items (arranged in nondecreasing order of load).
- auxlist has the top N (highest demand) size-1 items. [use  $N - m_1$  dummy size-1 items if  $m_1 < N$ ]

- *auxlist* is a "reserve" of size-1 items.
- Forces the selection of an item from *auxlist* in each disk.

 $m_{2}^{'}$  is the # of size-2 items on the remaining items list.  $m_{1}^{'}$  is the # of size-1 items.

For each disk, pick and pack the **best** combination of the following selections:

- Select  $w_2$ ,  $0 \le w_2 \le \min(\lfloor \frac{k}{2} \rfloor, m'_2)$  consecutive size-2 items from  $L_2$  at each of the positions  $1 \dots (m'_2 w_2 + 1)$ .
- Select  $w_1$ ,  $0 \le w_1 \le \min(k 2w_2 1, m'_1)$  consecutive size-1 items from  $L_1$  at each of the positions  $1 \dots (m'_1 w_1 + 1)$
- One size-1 item from *auxlist* at each of the positions
  1...|*aux-list*|

Picking the **best** combination:

- Let  $\mathcal{S}$  be the list of combinations.
- If  $\forall s \in S, load(s) < L$  the algorithm outputs the selection with highest load.
- If  $\exists s \in S$  where  $load(s) \ge L$ , then let  $\mathcal{D}$  be the set of all the selections in S with load  $\ge L$ .
- Let D' ⊆ D be the set of all the selections which can be made load-feasible by allowing the split of either the highest size-2 item in the selection, or the highest size-1 item (the size-1 item can be either from L<sub>1</sub> or auxlist)

Picking the **best** combination:

- Define wasted space of a selection to be the sum of the unused space and the size of the item that must be split to make the selection load-feasible.
- Pick the  $d \in \mathcal{D}'$  with minimum wasted space.

Reinsert the broken off piece into the appropriate position in the list from which it was picked.

Shrink auxlist if the piece was reinserted in auxlist. Move the piece that leaves auxlist into the correct position in  $L_1$ .

# Solution structure ( $s_i \in \{1, 2\}$ )

**Theorem 2.** It is always possible to pack a  $(1 - \frac{1}{(1+\sqrt{\lfloor \frac{k}{2} \rfloor})^2})$ -fraction of items for any instance.

# The bound is tight!





## Trivial Tight example, k=3

#### • Input:

| Size-2 items | Load |
|--------------|------|
| N/4          | 5L/2 |
| 3N/4         | L/2  |

• Optimal Assignment:

|     | isks | Load |
|-----|------|------|
| []] | V/2  |      |
| 1   | V/2  | L/2  |

Fraction of items packed 
$$= \frac{\frac{NL}{2} + \frac{NL}{2} \frac{L}{2}}{NL} = 3/4.$$

**Polynomial Time Approximation Schemes** 

• When 
$$(1-\epsilon) > \frac{k-\Delta}{k+\Delta} \left(1 - \frac{1}{\left(1+\sqrt{\frac{k}{2\Delta}}\right)^2}\right)$$
 (k is a con-

stant). Use another algorithm for constant k and  $s_i \in \{a_1, \ldots, a_c\}$ .

• Otherwise if  $(1-\epsilon) \leq \frac{k-\Delta}{k+\Delta} \left(1 - \frac{1}{\left(1+\sqrt{\frac{k}{2\Delta}}\right)^2}\right)$  use the

single-list sliding window algorithm for arbitrary k and  $s_i \in \{1, \ldots, \Delta\}$ .

# **Polynomial Time Approximation Schemes**

The approximation scheme involves the following basic steps:

- 1. Any given input instance can be approximated by another instance I' such that no data item in I' has an extremely high demand.
- 2. For any input instance there exists a near-optimal solution that satisfies certain structural properties concerning how clients are assigned to disks.
- 3. Finally, we give an algorithm that in polynomial time finds the near-optimal solution referred to in step (2) above, provided the input instance is as determined by step (1) above.

### **Structured Approximate Solutions**

- Disks can be heavy or light. Items can be popular or unpopular
- Heavy disks get all or none of the clients of an unpopular item
- Clients from a popular item cannot be distributed over multiple light disks. (consider OPT(I) to be the lexiographically maximal optimal solution)