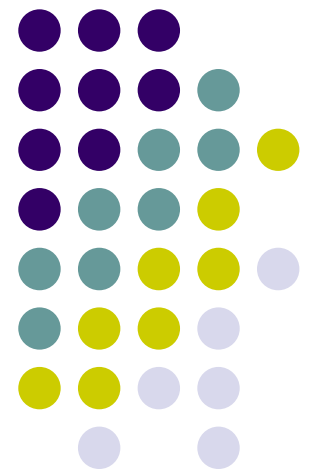


Delegate and Conquer: On Minimum Degree Minimum Cost Spanning Tree

R. Ravi

Carnegie Mellon University

Joint Work with Mohit Singh



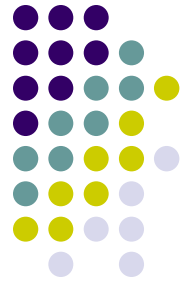
Minimum Degree MST problem



Given an undirected graph G , cost function c , a bound B on maximum degree

1. Return an MST which satisfies the degree bounds, or
2. Show the degree bounds are infeasible for any MST of G .

Minimum Degree MST problem



Given an undirected graph G , cost function c , a bound B on maximum degree

1. Return an MST which satisfies the degree bounds, or
 2. Show the degree bounds are infeasible for any MST of G .
- Problem is NP-complete
 - Approximating cost and satisfying the degree bounds exactly is not possible.
 - We consider the case for approximating the degree but satisfying the cost exactly, i.e., solution must be a MST.
 - Bi-criteria approximations for the problem have been studied.



Previous Work

- Fischer '93 returns a MST with maximum degree $O(B + \log n)$ or shows infeasibility for degree bounds B
- Chaudury et al '05 give a quasi-polynomial time algorithm which returns a MST with maximum degree $O(B + \log n / \log \log n)$ or shows infeasibility for degree bounds B



Unweighted Case

- Theorem [Furer & Raghavachari '92]: Given a unweighted graph and degree bounds B_v for vertex v , a polynomial time algorithm returns a Witness set $W \subset V$ and a tree T such that

Solution

If $W = \emptyset$ then $\deg_T(v) \leq B_v + 1$
for each $v \in V$.

Infeasibility

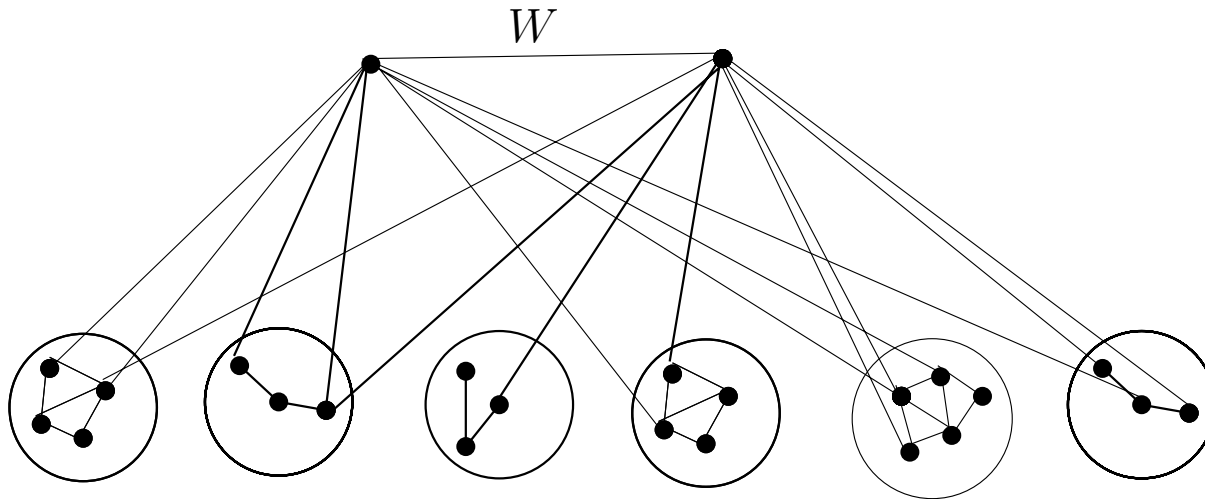
No tree of G satisfies the
degree bounds on W .

Cf. Vizing's Theorem for edge-coloring a graph.



Witness Set

- $W \subset V$ such that if C_1, \dots, C_r are components in $G \setminus W$.
- Total degree of nodes of W in any tree $T \geq r + |W| - 1$
- Instance infeasible if $\sum_{w \in W} B_w < r + |W| - 1$





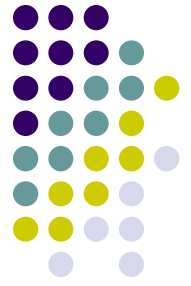
Our Main Result (ICALP '06)

- Theorem: There exists a polynomial time algorithm which given a graph $G=(V,E)$ with cost function c on edges and degree bounds B_v for each vertex v either
 - **(Infeasibility)** Shows that no MST satisfies the degree bounds.
 - **(Solution)** Returns a MST such that $\deg_T(v) \leq B_v + k$

Infeasibility is via a linear programming relaxation

Here $k = \text{no. of distinct costs in an MST of } G$

Structure of an MST



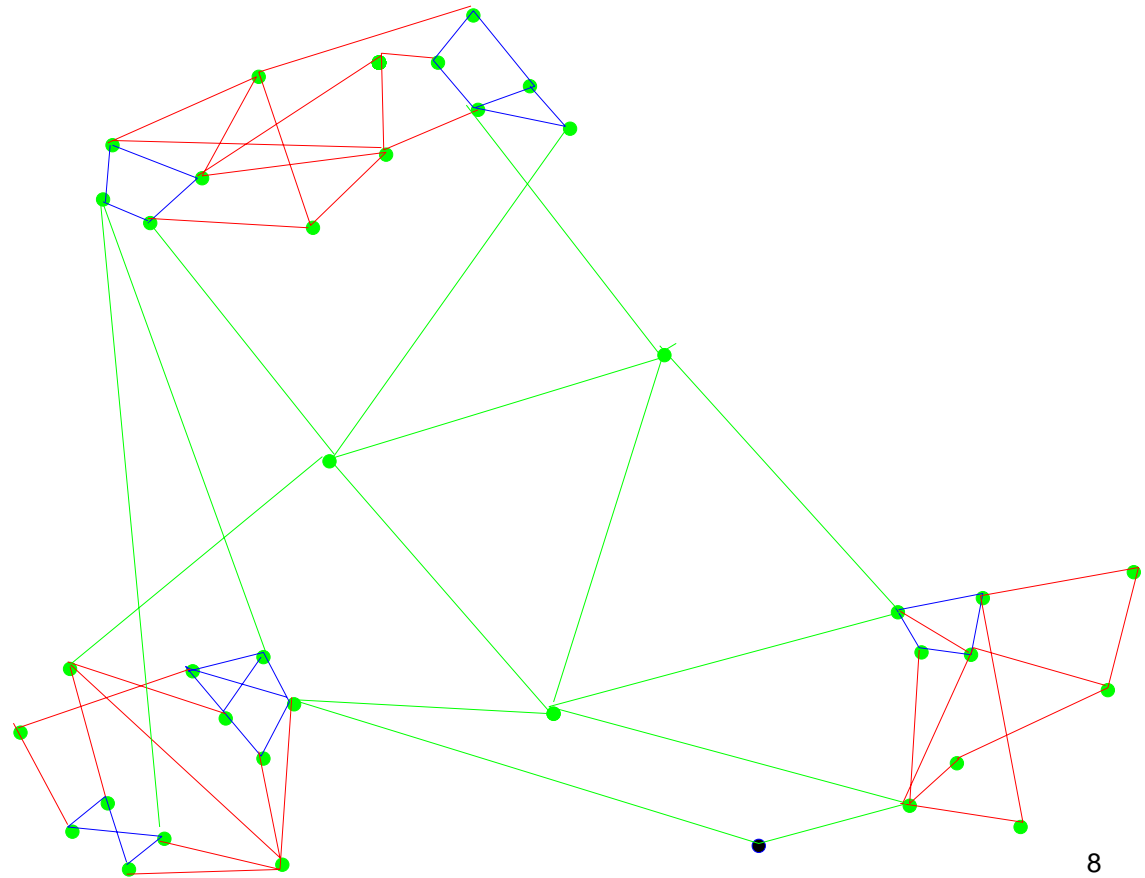
- Any MST can be constructed by decomposing the graph into forests corresponding to each cost class.

Colors and Costs:

Blue=1

Red=2

Green=3



Structure of an MST



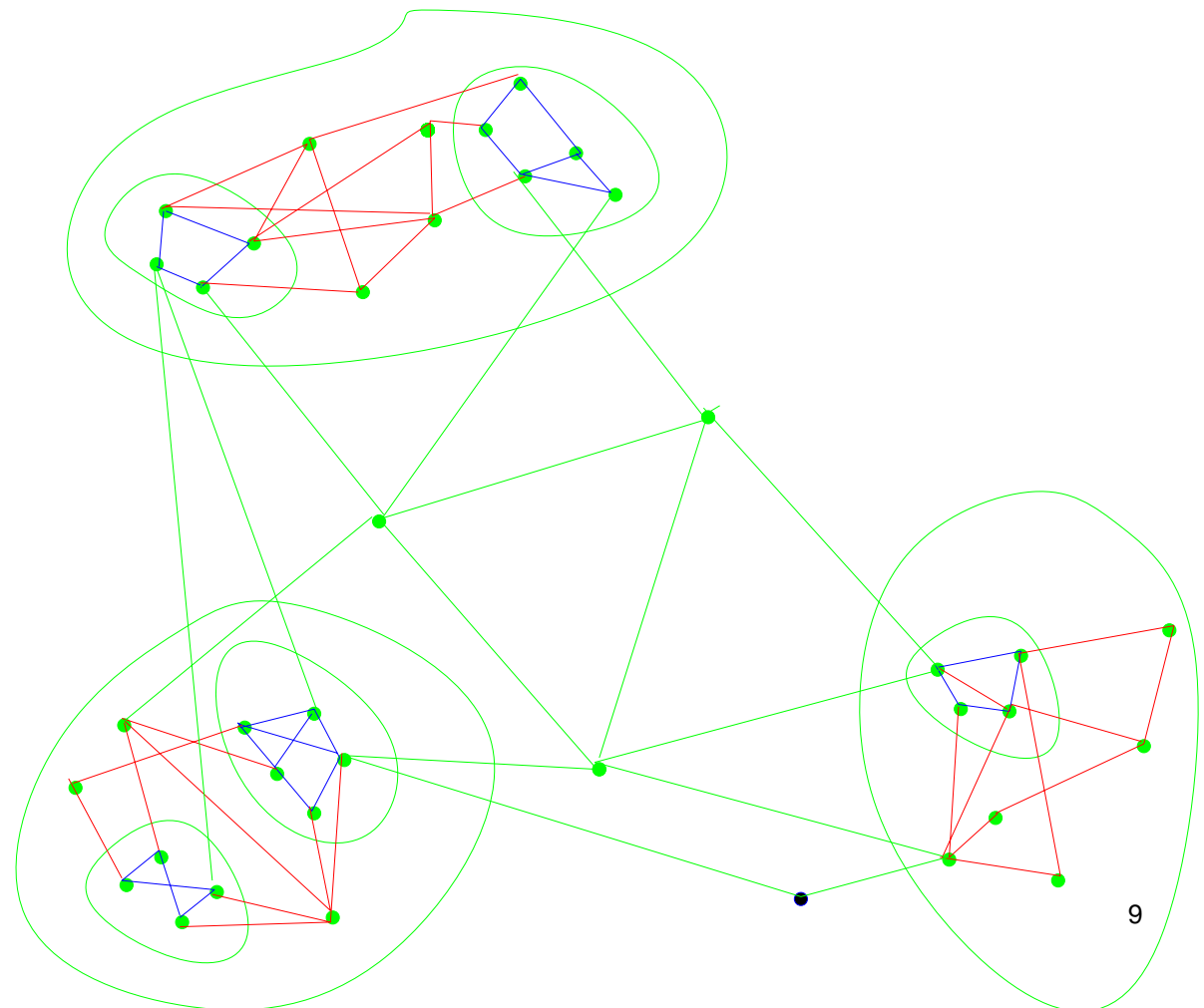
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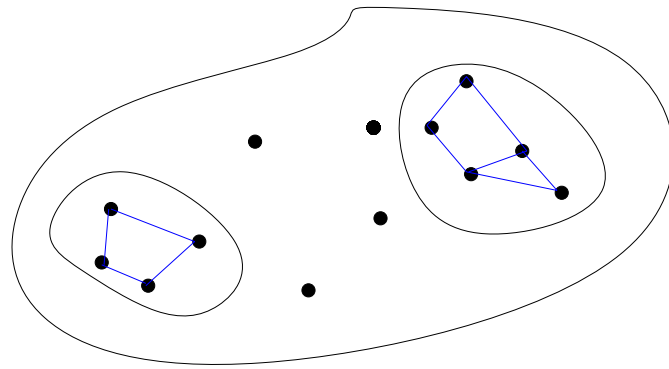
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Structure of an MST



- Any MST can be constructed by decomposing the graph into forests corresponding to each cost class.

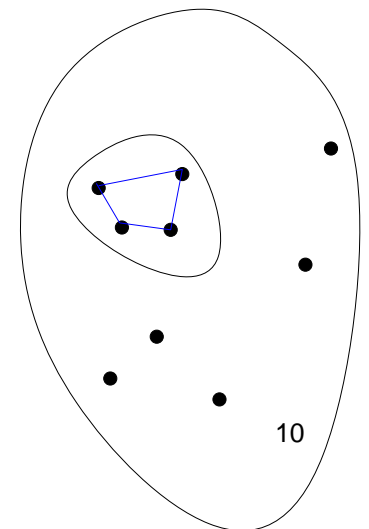
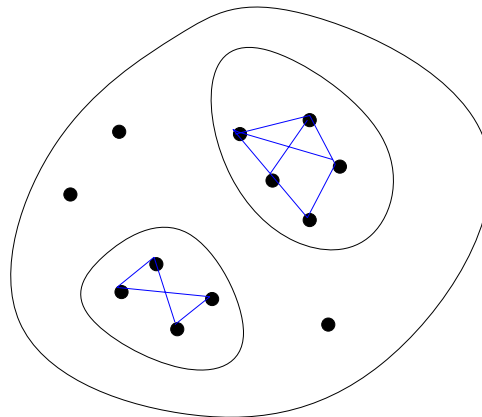


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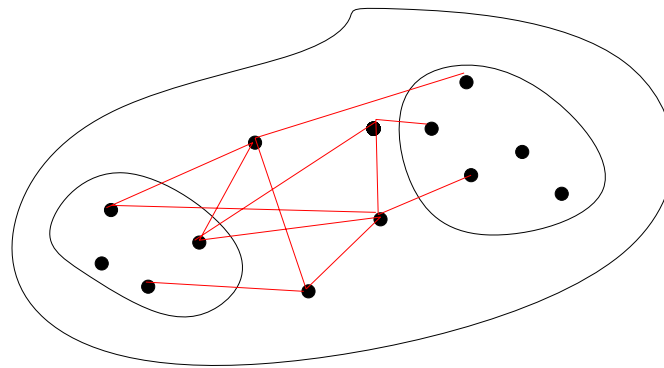
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Structure of an MST



- Any MST can be constructed by decomposing the graph into forests corresponding to each cost class.

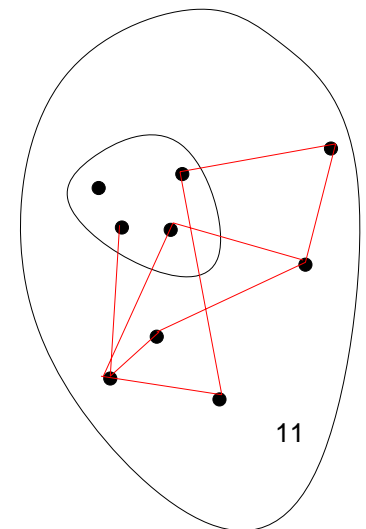
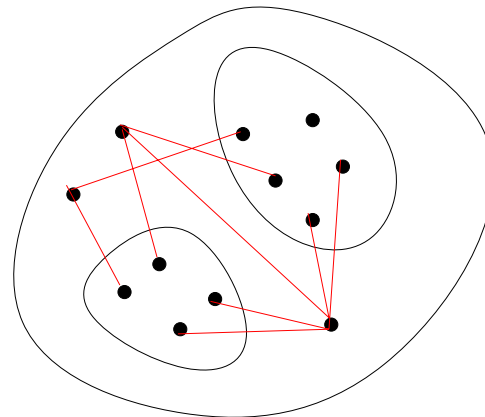


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Structure of an MST



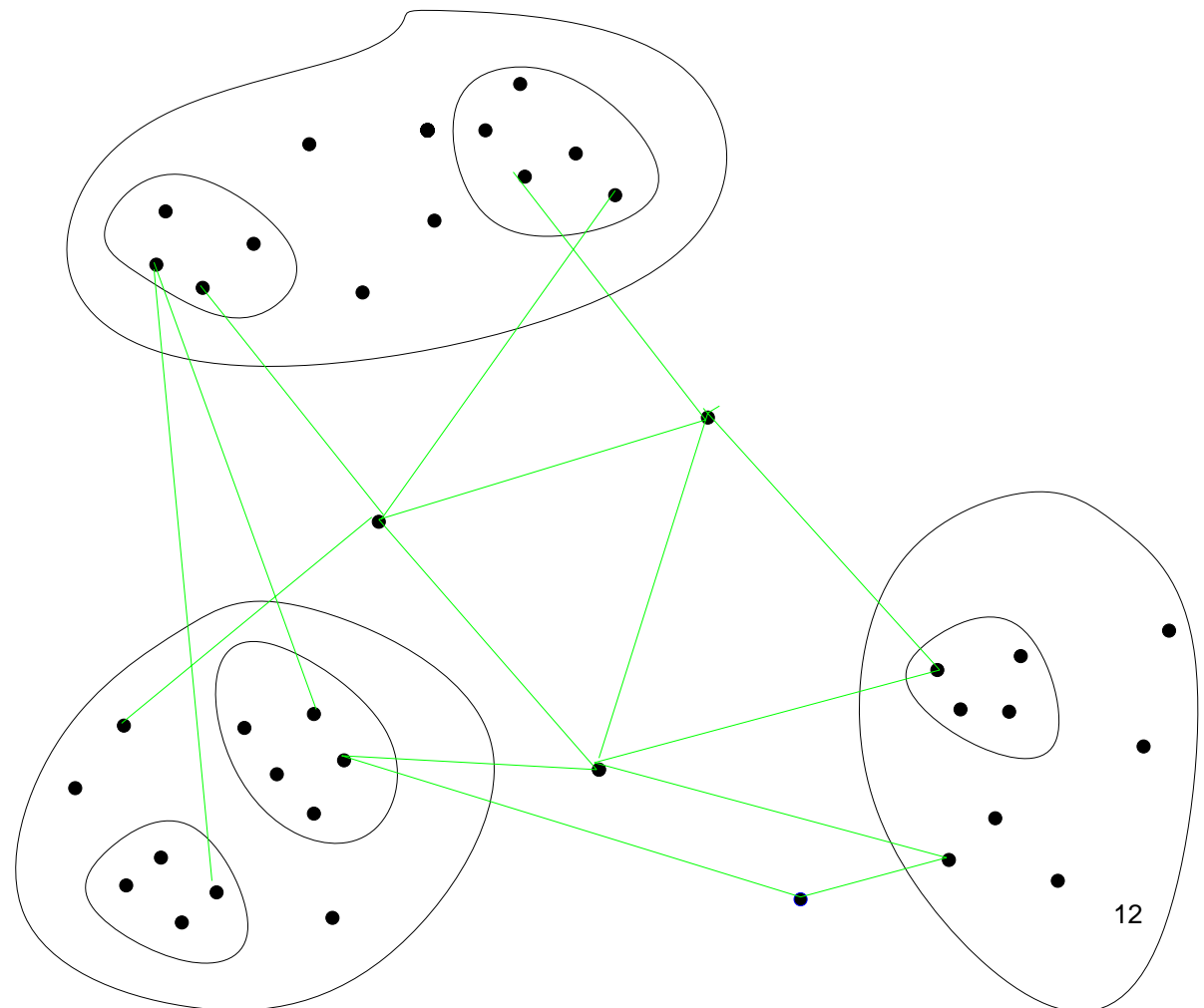
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Structure of an MST



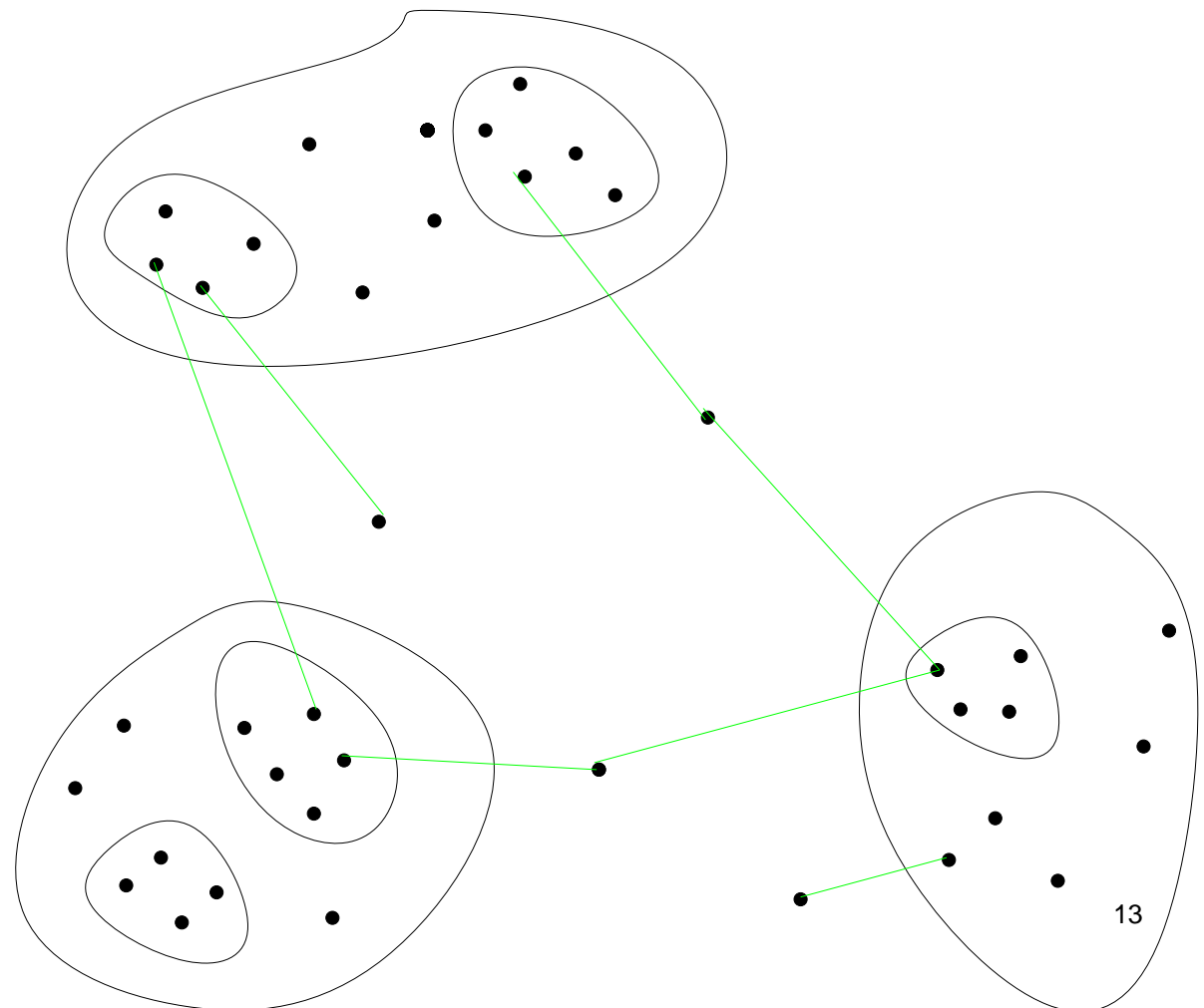
- Any MST can be constructed by decomposing the graph into forests corresponding to each cost class.

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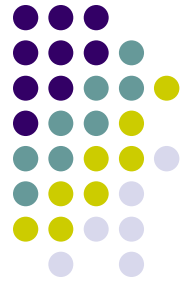
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Structure of an MST



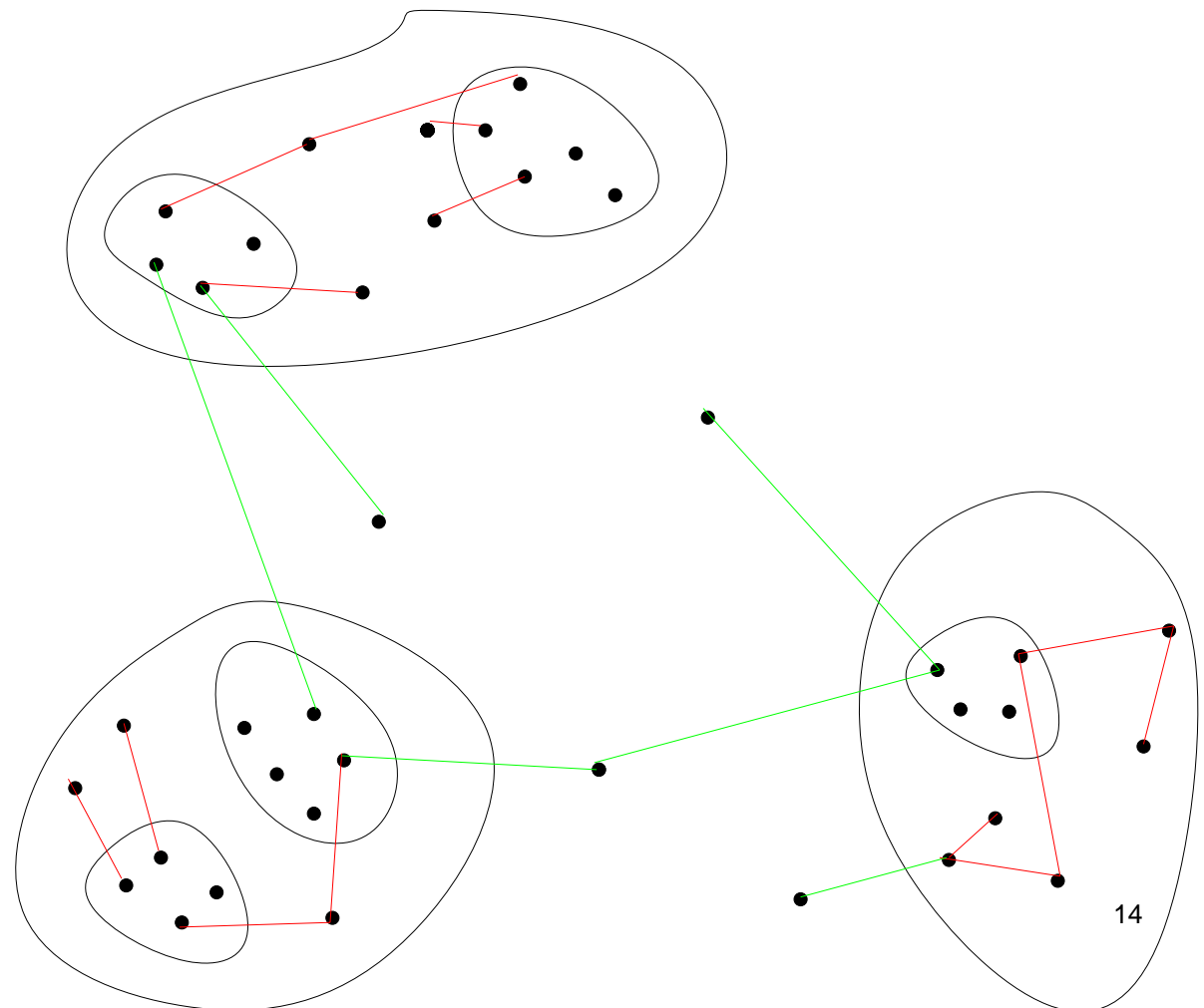
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Structure of an MST



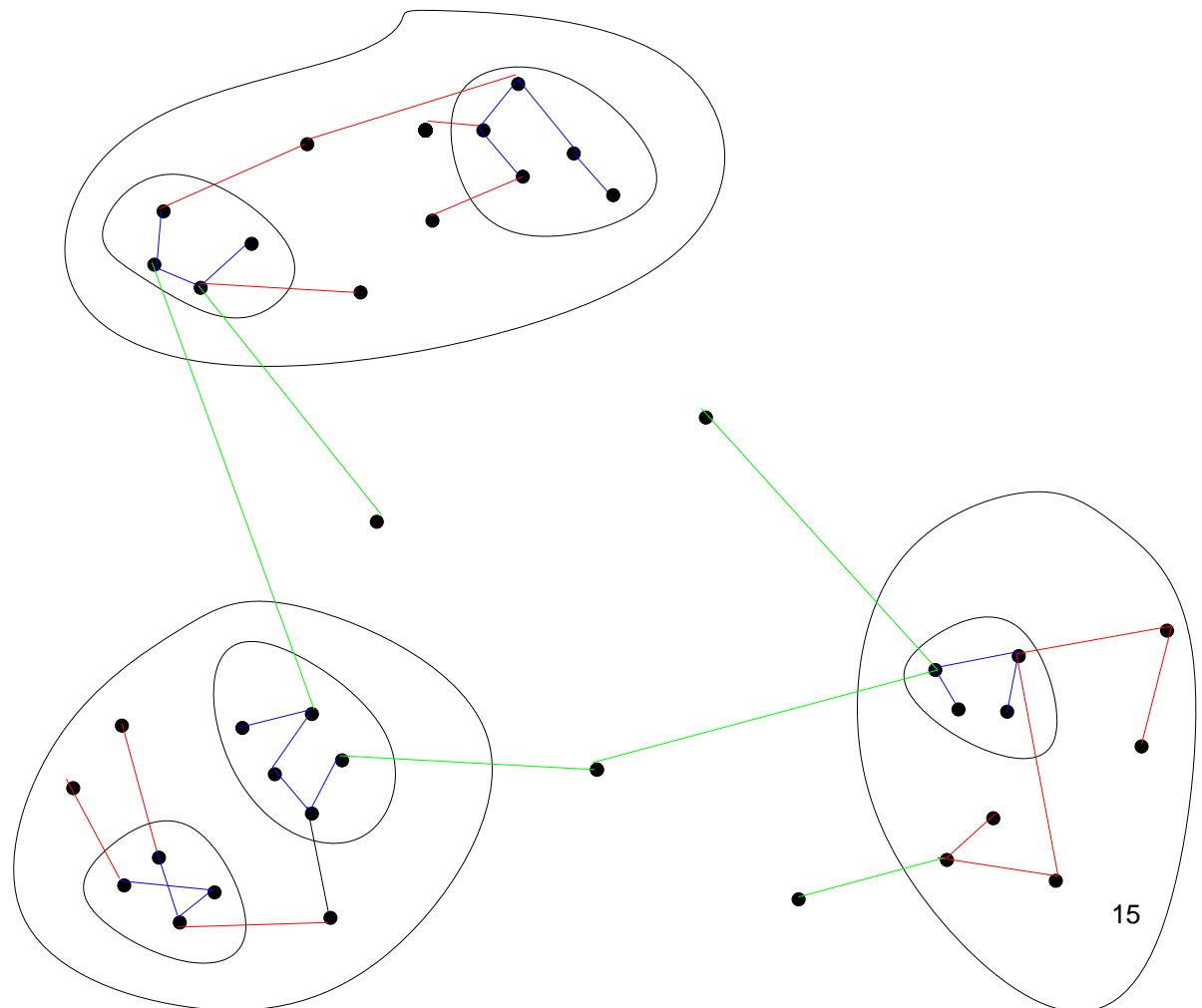
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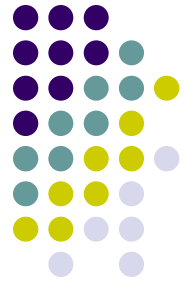
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Forests over Forests

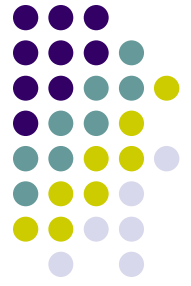
- Theorem [Ellingham & Zha '00]: Given a connected unweighted graph, forest F , and degree bounds B_v for vertex v , there is a polynomial time algorithm that returns a witness set W and F -tree T (that connects F) such that either

Infeasibility ($W \neq \emptyset$)

W "violates" the degree bounds for any F -tree T .

or Solution ($W = \emptyset$)

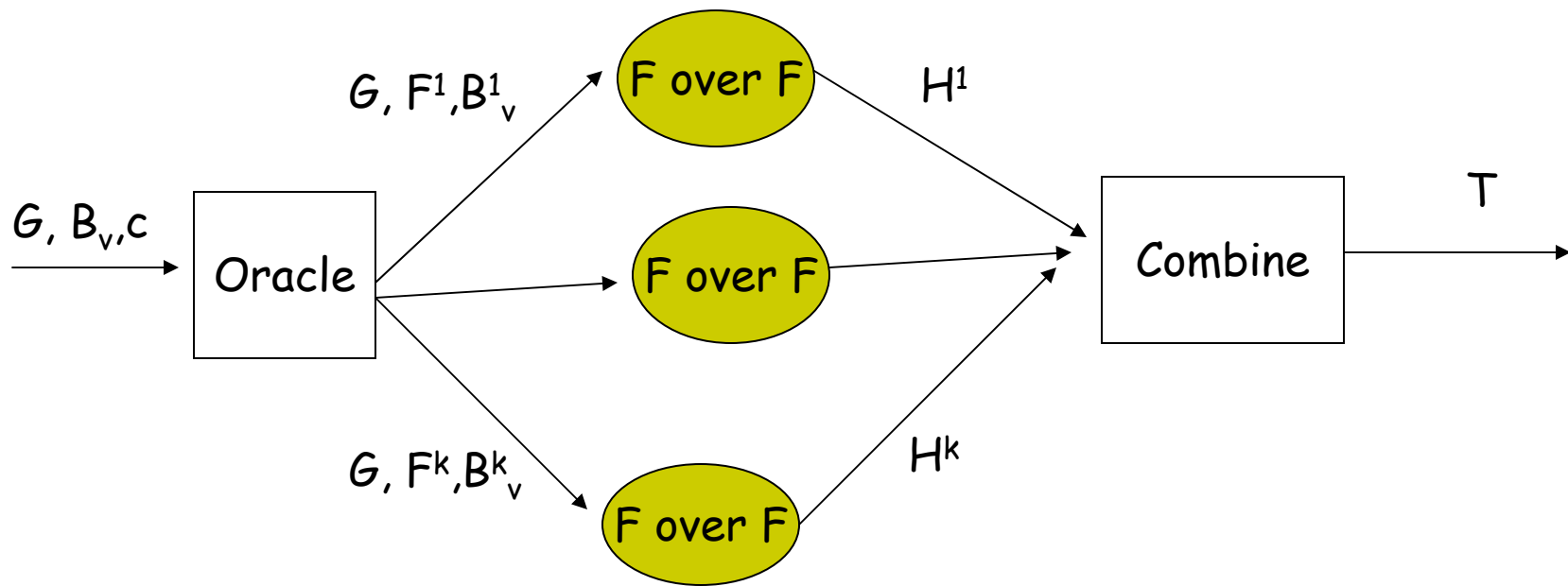
$$\deg_T(v) \leq B_v + 1.$$



Independence (Almost)

- The degree bound needs to be divided among different cost classes.
- Need an **Oracle** to partition each $B_v = B_v^1 + \dots + B_v^k$ for each v .
- Use B_v^i for constructing the appropriate forests H^i for cost class i .
- If the guesses were correct, $\deg_{H^i}(v) \leq B_v^i + 1$.
- Return $T = \bigcup_i H^i$

Big Picture





Two Caveats

- We only get $\deg_T(v) = \deg_{H^1}(v) + \dots + \deg_{H^k}(v)$
 $\leq (B_v^1 + 1) + \dots + (B_v^k + 1)$
 $= B_v^1 + \dots + B_v^k + k$
 $= B_v + k$
- What is the Oracle?



Oracle: LP relaxation

- We use the LP solution.

$$\begin{array}{ll} \min & \sum_e c_e x_e \\ \text{s.t.} & \\ & \sum_{e \in \delta(v)} x_e \leq B_v \quad \forall v \in V \\ & x \in SP(G) \end{array}$$

- $SP(G)$ is the convex hull of spanning trees of G .
- $c(x^*) > c(\text{MST})$ then the bounds are infeasible for any MST
- Instead of facing infeasibility at each FoF problem, we decide once.

Algorithm



- Solve LP relaxation to obtain optimal LP solution x^* .
- **(Check Feasibility)** If $c(x^*) > c(\text{MST})$, then declare the bounds infeasible
- **(Divide Bounds)** let $B_v^i = \lceil \sum_{e \in \delta(v) \text{ and } \text{cost}(e)=i} x_e \rceil$
- **(Solve Subproblems)** Use Forest over Forest algorithm to obtain F^i with bounds at most $B_v^i + 1$.
- Return $T = \bigcup_i F^i$

Algorithm



- Solve LP relaxation to obtain optimal LP solution x^* .
- **(Check Feasibility)** If $c(x^*) > c(\text{MST})$, then declare the bounds infeasible
- **(Divide Bounds)** let $B_v^i = \lceil \sum_{e \in \delta(v) \text{ and } \text{cost}(e)=i} x_e \rceil$
- **(Solve Subproblems)** Use Forest over Forest algorithm to obtain F^i with bounds at most $B_v^i + 1$.
Need to make sure algorithm does not return a witness
- Return $T = \bigcup_i F^i$



LP is stronger

- **Lemma:** If any of the forest-over-forests problem with degree bounds given by the LP solution returns a witness showing infeasibility, then the LP has a value more than $c(\text{MST})$.
- Proof by contradiction: Let x^* denote the optimum LP solution.

$$\begin{aligned} \min \sum_e c_e x_e \\ \text{s.t.} \quad & x^* = \sum_j \lambda_j T_j, \quad \sum_j \lambda_j = 1, \lambda_j > 0 \quad \forall j \\ & \sum_{e \in \delta(v)} x_e \leq B_v \quad \forall v \in V \\ & x \in SP(G) \end{aligned}$$

Claim: $cx^* = c_{\text{MST}} \Rightarrow$ each T_j is a MST

Proof: $cx^* = \sum_j \lambda_j c(T_j) \geq \sum_j \lambda_j c_{\text{MST}} = c_{\text{MST}} \sum_j \lambda_j = c_{\text{MST}}$
Hence, each $c(T_j) \geq c_{\text{MST}}$ must hold at equality.



LP is stronger: Proof Contd

Let the i^{th} forest-over-forest problem be infeasible.

Let $F_j \subset T_j$ be the i^{th} forest-over-forest solution. Each F_j is exactly the cost i edges of T_j .

Claim: Let $y = \sum_j \lambda_j F_j$. Then $\deg_v(y) \leq B_v^i \forall v \in V$

Proof: y is exactly the cost i edges of x^* .

There is a convex combination of forest-over-forests which satisfies the degree bounds.

Let W be the witness for i^{th} forest-over-forest problem.

Then $\sum_{w \in W} \deg_{F_j}(w) \geq \sum_{w \in W} B_w^i + 1$ for each j .

Hence, for the convex combination y , $\sum_{w \in W} \deg_y(w) \geq \sum_{w \in W} B_w^i + 1$

Contradiction.



Two Caveats

- What is the Oracle : **Linear Program**
- We still get $\deg_T(v) = \deg_{H^1}(v) + \dots + \deg_{H^k}(v)$

$$\leq (B_v^1 + 1) + \dots + (B_v^k + 1)$$

$$= B_v^1 + \dots + B_v^k + k \quad \text{Cost Class Error}$$

$$\leq B_v + k - 1 + k = B_v + 2k - 1$$

Rounding Error



Strengthening of FR

- Theorem: Given a graph $G=(V,E)$, degree bounds B_v for each vertex v , \exists polynomial time algorithm that returns a Witness set W and tree T such that

1. $W \neq \phi$ (Infeasible, as earlier...)
2. $W = \phi$

(Solution)

$$\deg_T(v) \leq B_v + 1 \text{ for each } v$$

(Strong Solution)

For each $u \in V$, there exists a tree T_u such that $\deg_{T_u}(u) \leq B_u$ and $\forall v \neq u: \deg_{T_u}(v) \leq B_v + 1$.

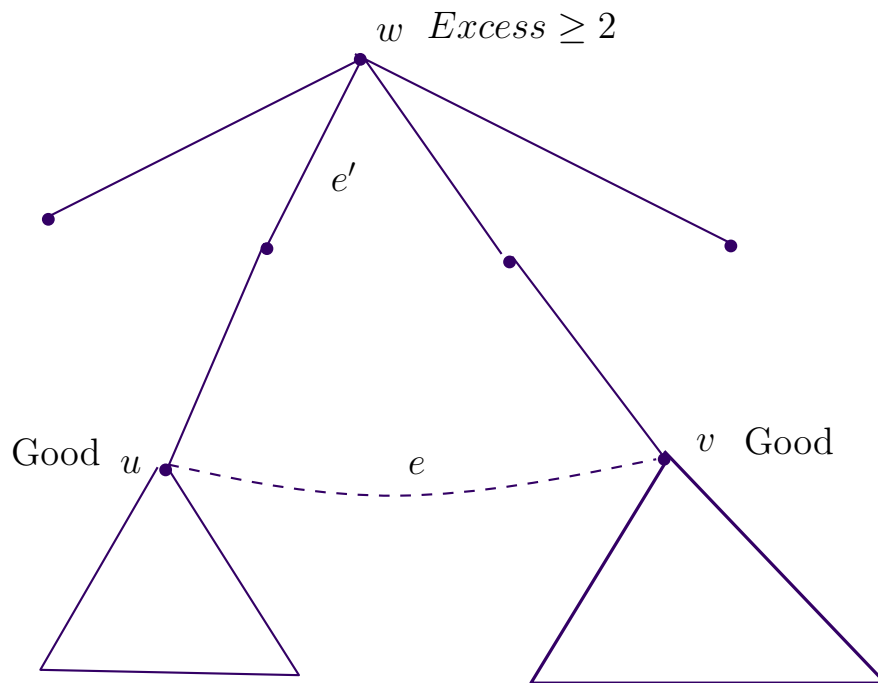


FR Algorithm

1. Initialize with any tree T
2. Define $Ugly := \{v \mid \deg_T(v) \geq B_v + 2\}$, $Bad := \{v \mid \deg_T(v) = B_v + 1\}$
 $Good := \{v \mid \deg_T(v) \leq B_v\}$. If $Ugly(T) = \emptyset$ then return T
3. While there exists $e = (u, v) \in E \setminus T$ such that $u, v \in Good$
 - mark all vertices in the cycle in $T \cup e$ as good.
4. If some Ugly vertex w is marked good, swap e for an edge incident at w and recursively improve u and v . Return to Step 2.
5. Return $W = Ugly \cup Bad$

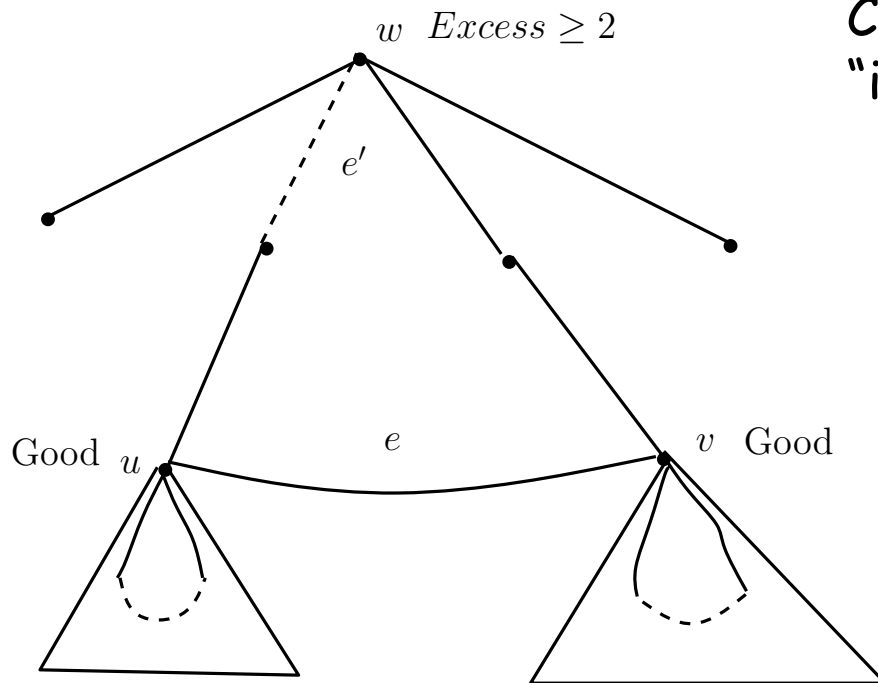


FR algorithm

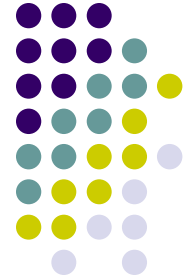


If $\deg_T(u) \leq B_u$ and $\deg_T(v) \leq B_v$
then swap e and e' .

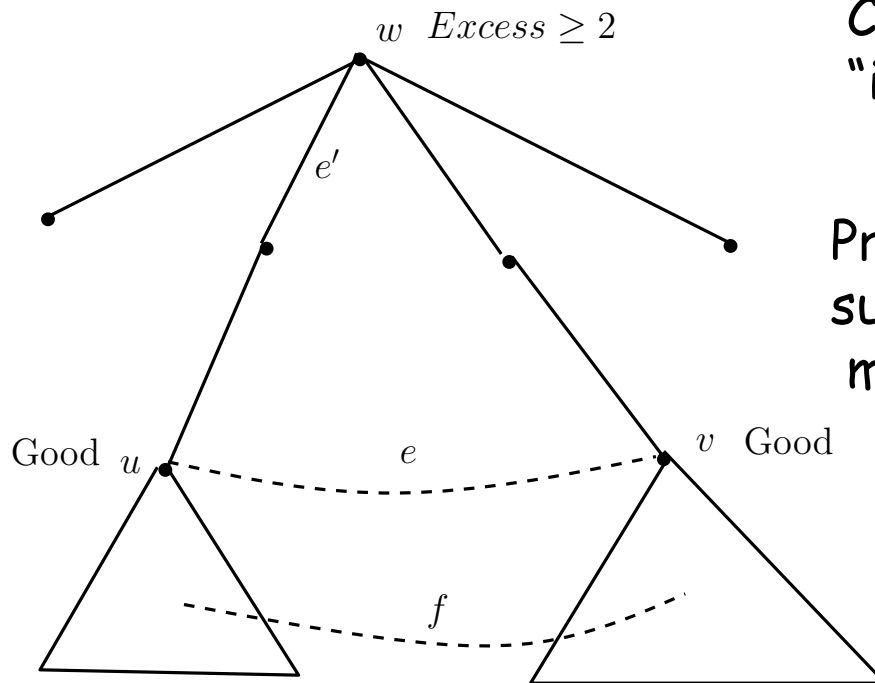
FR algorithm



Claim: Both u and v can be "improved" in their own subtrees.



FR algorithm



Claim: Both u and v can be "improved" in their own subtrees.

Proof: If there exists a edge f across subtrees then w would have been marked good earlier!



FR algorithm

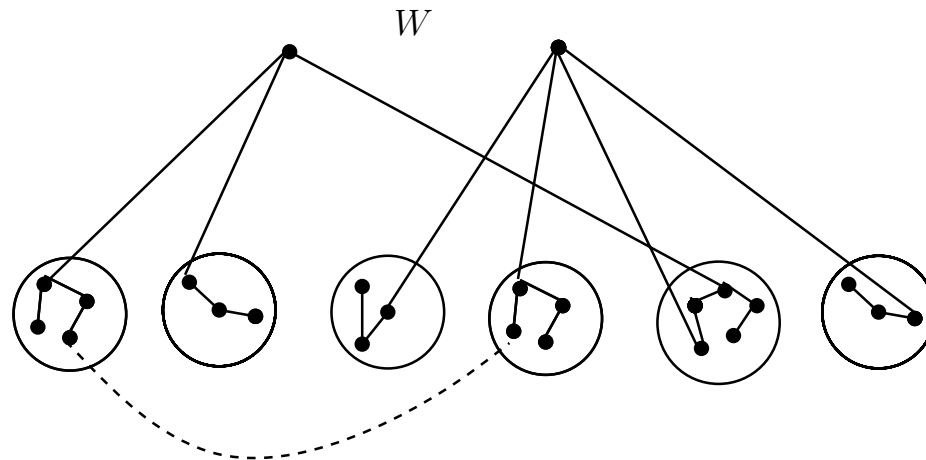
Claim: If the algorithm returns a witness $W = \text{Bad} \cup \text{Ugly}$ then the degree bounds are infeasible.

Proof: Consider the components of $T \setminus W$. We claim that components of $T \setminus W$ are also components of $G \setminus W$.

$\deg_T(w) \geq B_w + 1$ for each $w \in \text{Bad}$ and $\deg_T(w) \geq B_w + 2$ for each $w \in \text{Ugly}$

$\Rightarrow |C| \geq \sum_{w \in W} B_w + |W| + 1 - 2(|W| - 1) \geq \sum_{w \in W} B_w - |W| + 3.$

$\Rightarrow \sum_{w \in W} \deg_T(w) \geq |C| + |W| - 1 \geq (\sum_{w \in W} B_w) + 2$ for any tree T





Strengthened FR

1. Initialize with any tree T
2. Define $Ugly := \{v \mid \deg_T(v) \geq B_v + 2\}$, $Bad := \{v \mid \deg_T(v) = B_v + 1\}$
 $Good := \{v \mid \deg_T(v) \leq B_v\}$. If $(Ugly \cup Bad) = \emptyset$ then return T
3. While there exists $e = (u, v) \in E \setminus T$ such that $u, v \in Good$
 - mark all vertices in the cycle in $T \cup e$ as good.
4. If some Ugly vertex w is marked good, swap e for an edge incident at w and recursively improve u and v . Return to Step 2.
5. Return $W = Ugly \cup Bad$



Strengthening of FR

- Theorem: Given a graph $G=(V,E)$, degree bounds B_v for each vertex v , \exists polynomial time algorithm that returns a Witness set W and tree T such that

1. $W \neq \phi$ (Infeasible, as earlier...)
2. $W = \phi$

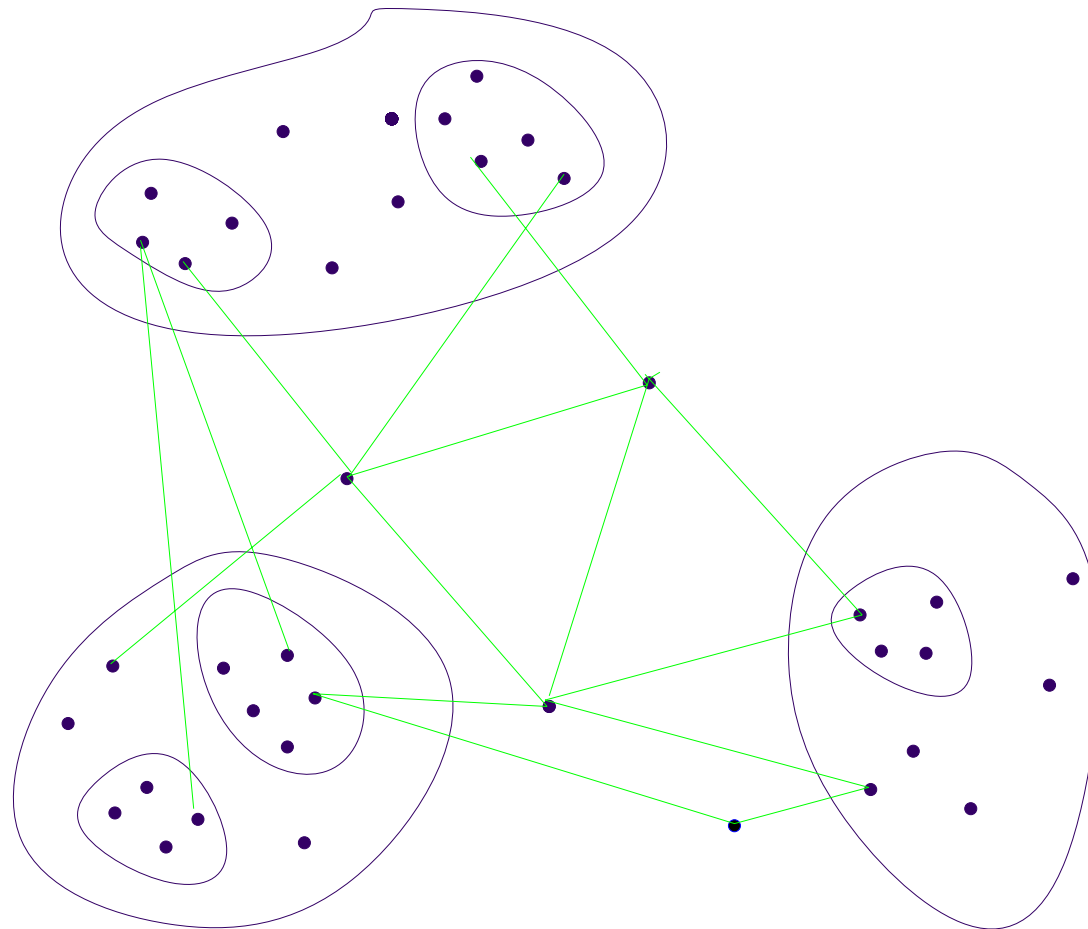
(Solution)

$$\deg_T(v) \leq B_v + 1 \text{ for each } v$$

(Strong Solution)

For each $u \in V$, there exists a tree T_u such that $\deg_{T_u}(u) \leq B_u$ and $\forall v \neq u: \deg_{T_u}(v) \leq B_v + 1$.

Forest over Forest Problem



Strengthening of Forest-over-Forest



- Theorem: A polynomial time algorithm returns a Witness set W and tree T such that
 1. $W \neq \phi$ (Infeasible, as earlier...)
 2. $W = \phi$

(Solution)

$\deg_T(v) \leq B_v + 1$ for
each $v \in V$

(Strong Solution)

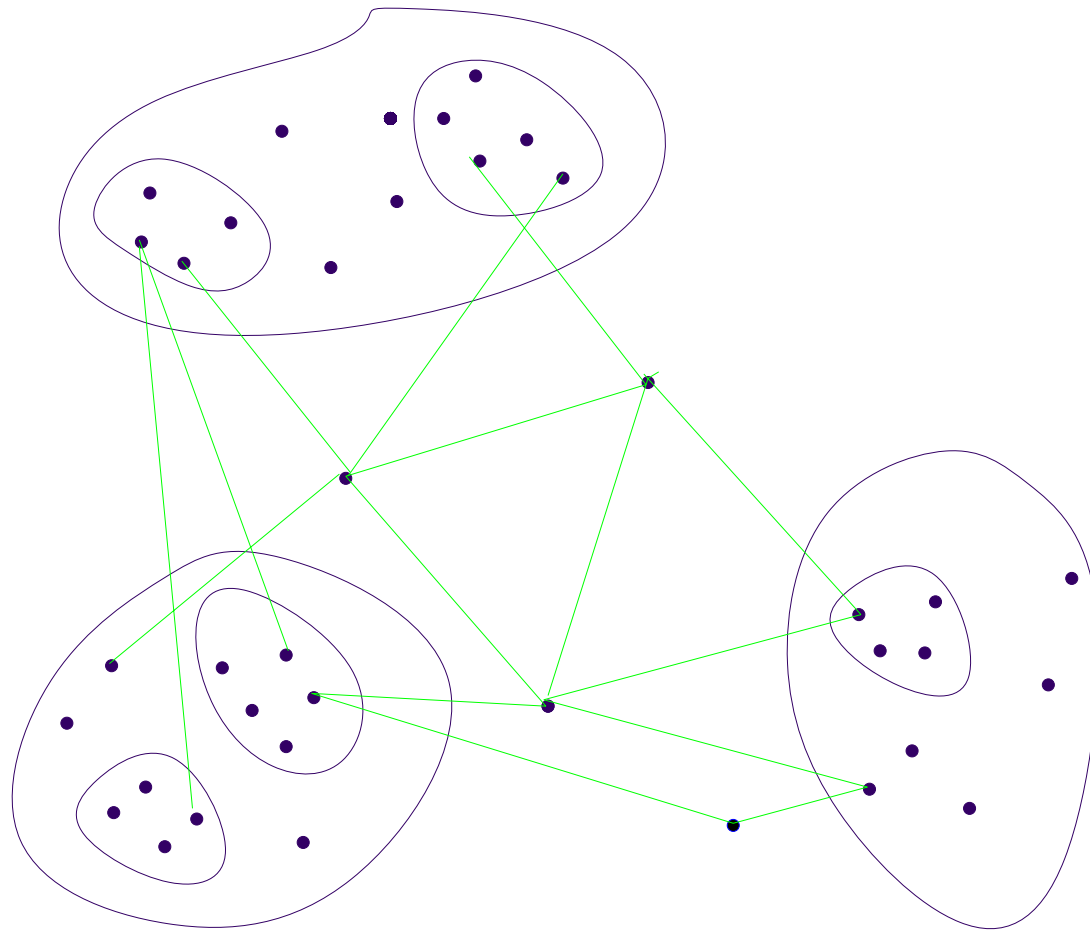
In each "supernode", there is at most 1 vertex at $B_v + 1$ and one can choose a supernode such that every vertex satisfies the degree bound in that supernode.



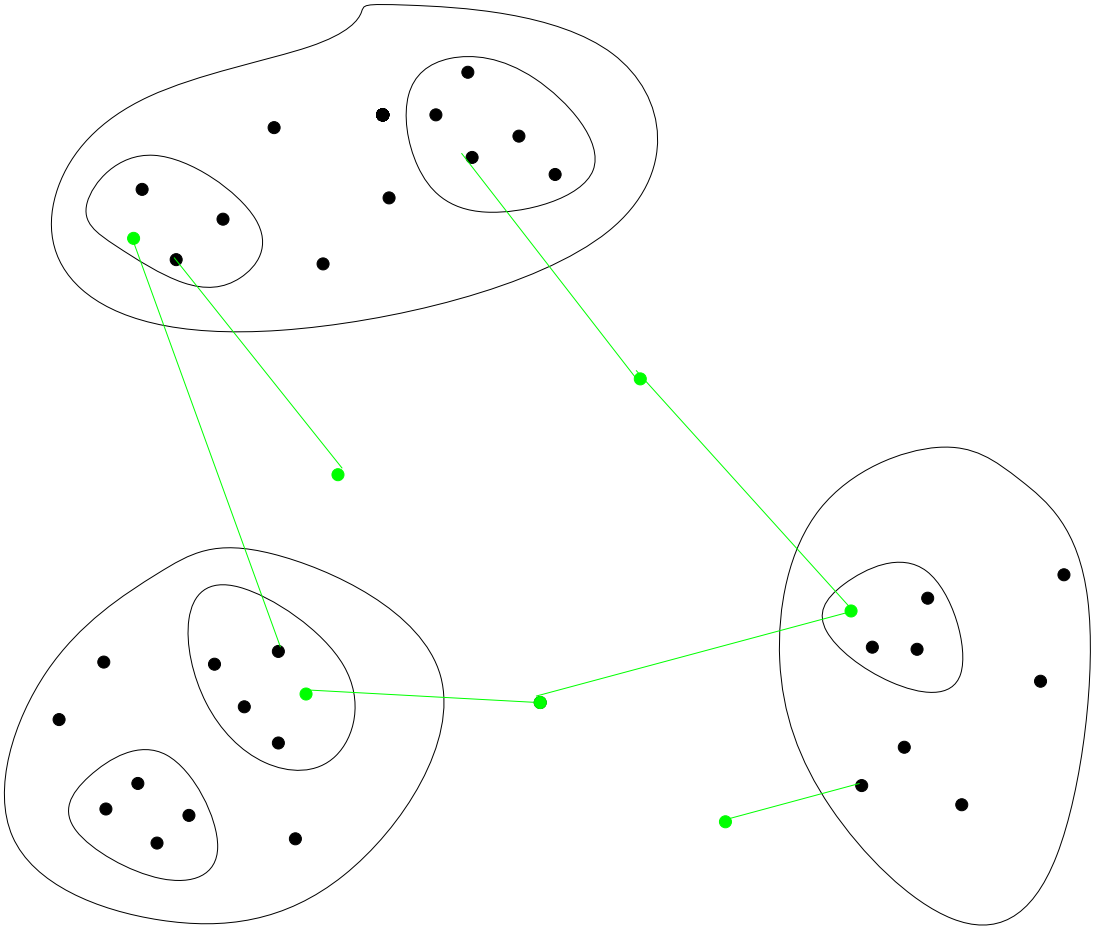
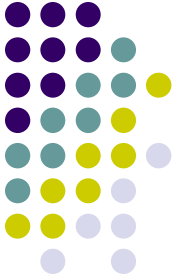
Delegate and Conquer

- Solve LP relaxation to obtain optimal LP solution x^* .
- **(Check Feasibility)** If $c(x^*) > c(\text{MST})$, then declare the bounds infeasible
- **(Divide Bounds)** let $B_v^i = \lceil \sum_{e \in \delta(v) \text{ and } \text{cost}(e)=i} x_e \rceil$
- **(Solve Subproblems)** In a top down manner, solve the FoF problem using the Strong guarantee to ensure that the degree of any vertex exceeds its bound in at most 1 cost class.
- Return $T = \cup_i F^i$

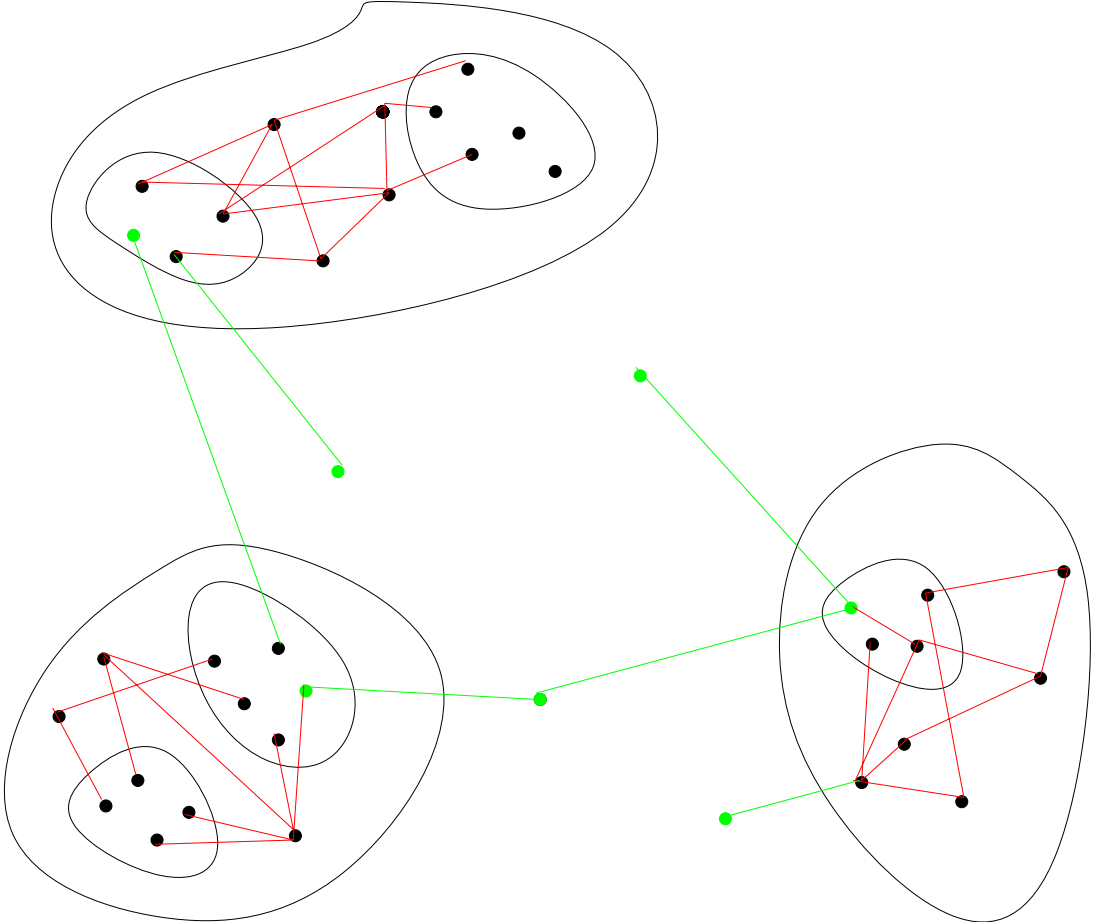
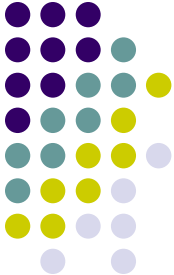
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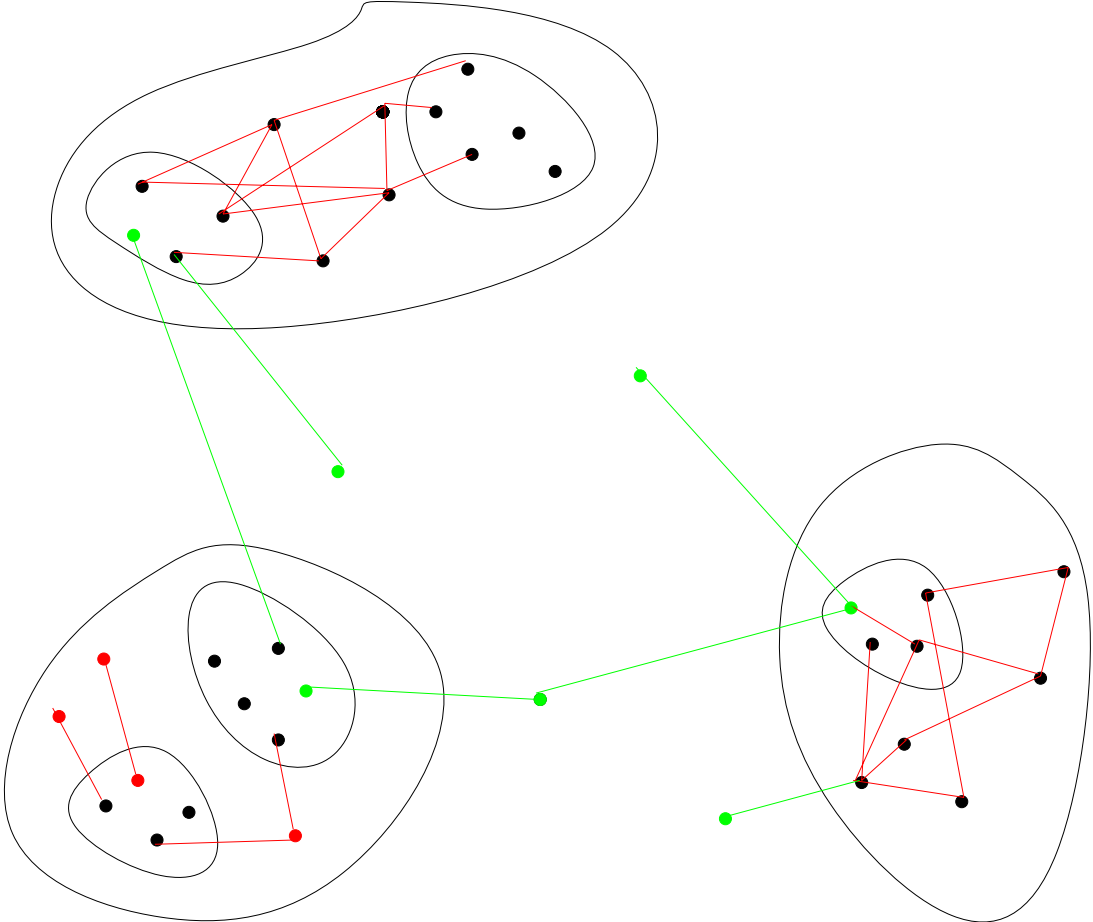
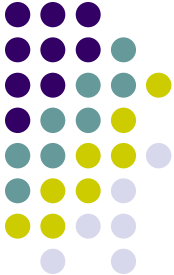
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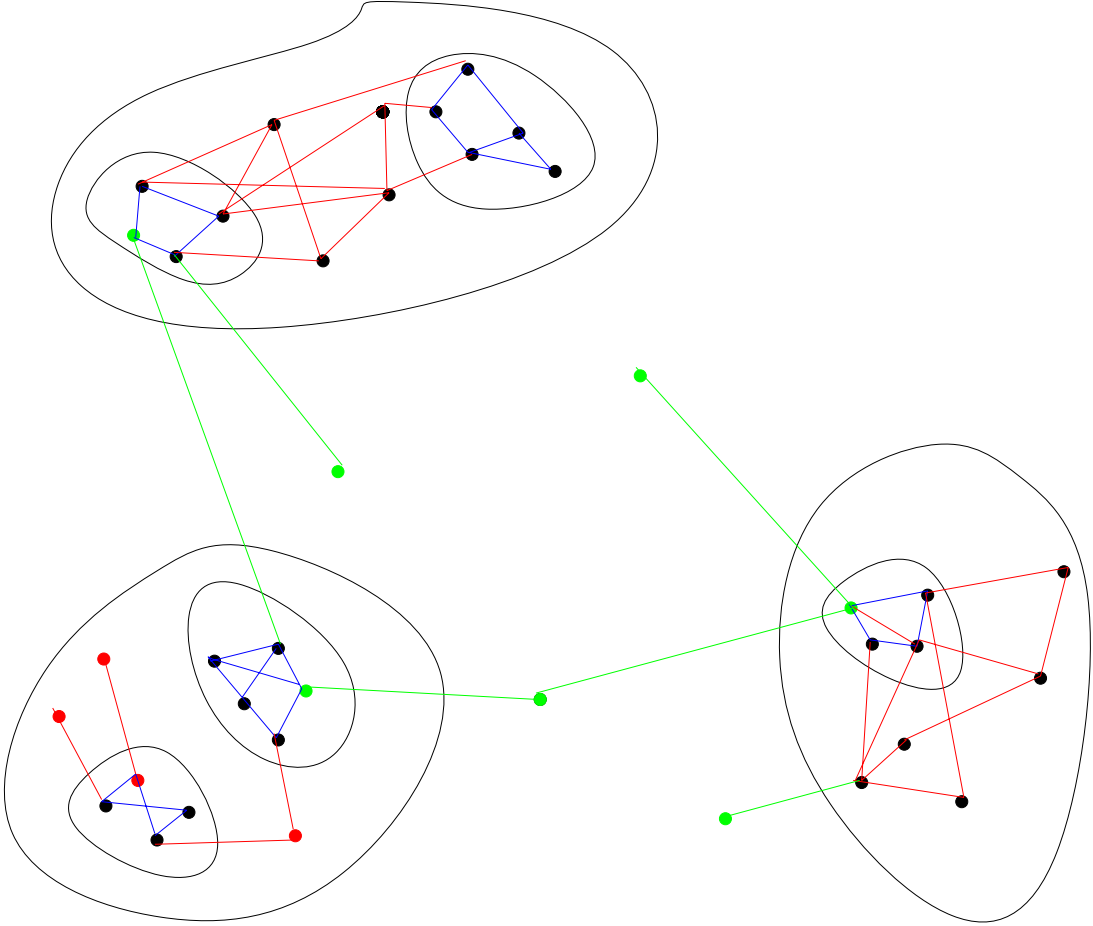
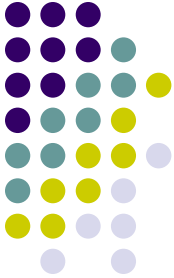
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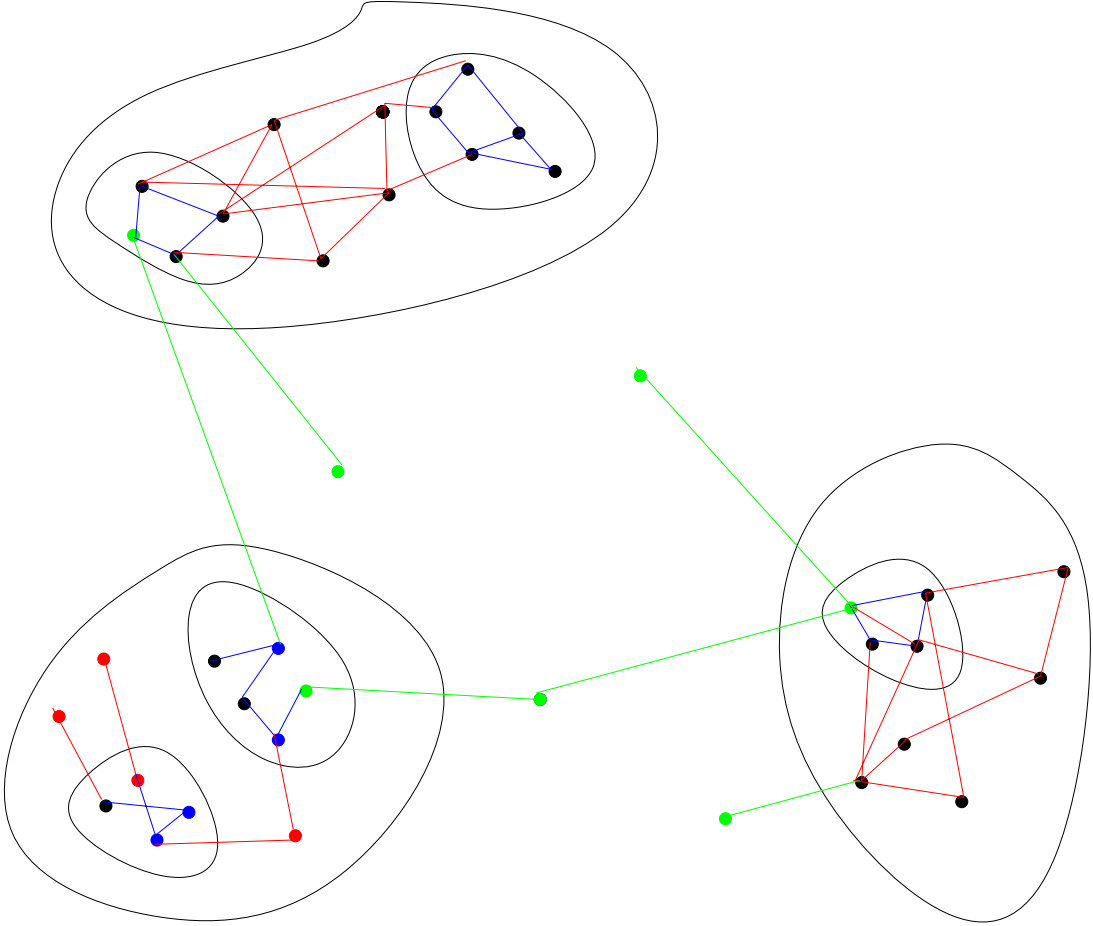
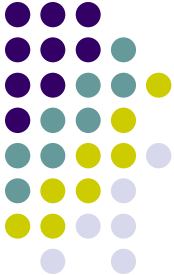
Delegate and Conquer



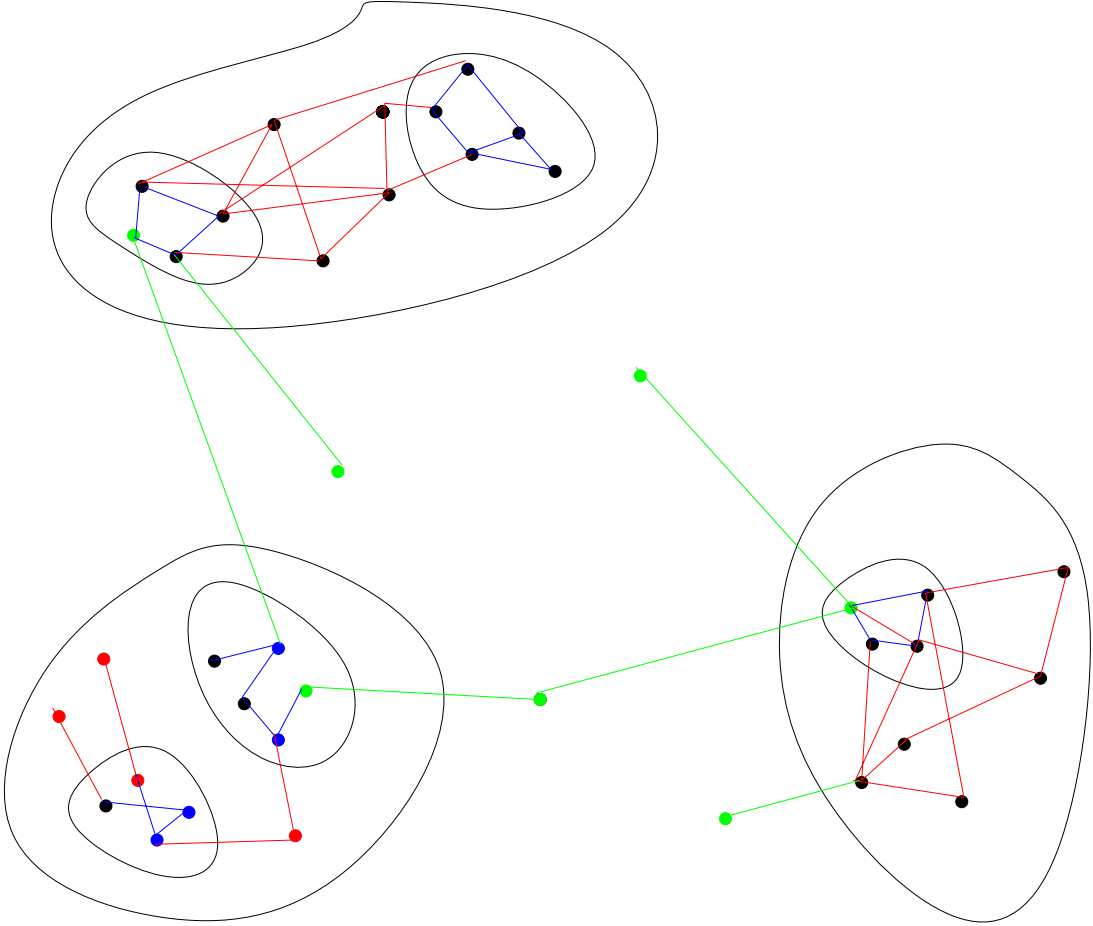
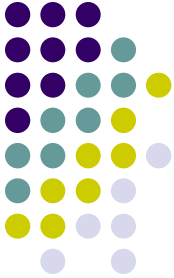
Delegate and Conquer



Delegate and Conquer



Delegate and Conquer



QED



BDMST problem

- Given an undirected graph G , cost function c , a bound B on maximum degree
 1. Return the cheapest tree which satisfies the degree bounds, or
 2. Show the degree bounds are infeasible for any tree of G

Konemann and Ravi '00,'02 gave a general procedure using Lagrangian relaxation for obtaining bicriteria approximation for BDMST problem. Using Fischer's algorithm they return a tree of cost $O(c_{\text{opt}})$ and degree $O(\Delta^* + \log n)$.

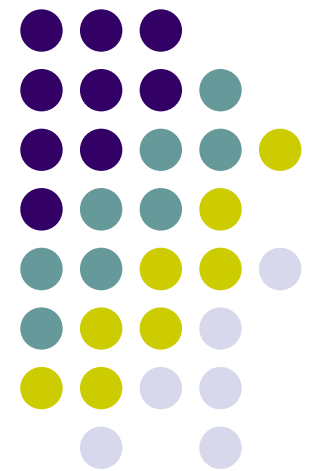
Using similar ideas, Chaudhuri et al'05, give a tree of cost at most c_{opt} and degree $O(\Delta^* + \log n)$



Open Problems

- Obtain a MST of max degree $OPT+1$ similar to unweighted case?
- Recently, Goemans announced an $OPT+2$ algorithm.

Questions?





A Swap Theorem

- Theorem: Given any T , there exists a sequence of trees

$$T = T_1 \rightarrow T_2 \rightarrow \dots \rightarrow T_l$$

such that $\deg(T_i) \leq \deg(T_{i-1})$ and $\deg(T_l) = \Delta^*$
and \rightarrow is a single edge swap of equicost edges.

Proof: We will fix T_{opt} and make progress towards T_{opt} by edge swaps.