

## Jointly Restraining Big Brother:

Using cryptography to reconcile privacy with data aggregation

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## Privacy-sensitive interactions

The basic problem: Parties want to perform some joint computation while preserving privacy of local data.

Examples:

- Elections
- Obtaining statistical data on private records, e.g.:
  - Medical records
  - Shopping patterns and preferences
  - Whereabouts and travel patterns of individuals
- Pooling information from different sources

## A general approach for solution:

1. Formalize the required functionality in terms of a “centralized trusted service”.
2. Run a cryptographic protocol that realizes the “centralized trusted service” functionality.
  - Can use a generic construction (typically inefficient)
  - Can design more efficient protocols for a given trusted-service.

## The “trusted service” solution

- Assume all parties have “ideally secure channels” to an incorruptible trusted party.
- The trusted party processes inputs coming from the parties and provides the desired outputs.

Note: Trusted party can be *reactive*: Can get inputs and generate outputs throughout the computation.

## Example: Elections

Tasks of trusted party:

- Receives votes, verifies credentials
- Publicizes tallies, required statistics
- Revokes privacy of misbehaving individuals
- ...

## Example: Medical records

Tasks of trusted party:

- Obtains full records from individuals and doctors
- Provides full information on records with authorization by individual
- Provides statistical information on records (possibly limited/perturbed)
- Allows pooling some information with other depositories
- ...

## Challenges (I):

- Specification design (write the trusted party code):  
Exactly what is revealed and when?
  - What aggregates are “ok”, what perturbations
  - When to revoke identity, how much to revoke
  - How to resolve disputes
  - ...

That’s the “non-cryptographic” part. Often hardest...  
(But can assume a trusted party!)

## Challenges (II):

- Efficiency of the cryptographic solution:
  - Communication patterns:  
Are third parties involved? Which parties need to be on-line?
  - Communication complexity: rounds, bandwidth, etc.
  - Computational complexity
- Security of the solution:
  - Based on what assumptions?
  - What security properties are guaranteed?

## Stand-Alone Security

- Security is interpreted as “emulating the trusted service solution” [GMW87]: “Whatever damage that can be done to the protocol could have been done to the trusted party solution”.

However:

- The “classic” formalizations of this intuitive notion (e.g. [GL90,MR91,B91,C95,C00]) guarantee security only when a single protocol execution takes place at any time.
- In contrast, in today’s networks:
  - Multiple copies of a protocol may be running concurrently
  - A protocol is run concurrently with other protocols
  - Parties may be unaware of other executions, protocols, parties.

Stand-alone security does not suffice!

## Example: Concurrent Zero-Knowledge

[F90,DNS98]

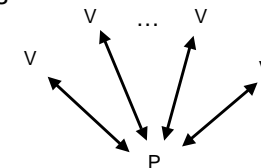
- Original notion of ZK [GMR85] does not guarantee security when the prover interacts with many verifiers concurrently.

- Best known solution:  $O(\log n)$  rounds

[RK99,PRS02]

- Lower bound of  $(\log n)$  rounds (for black-box simulation)

[CKPR01]



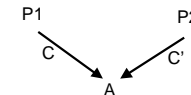
## Example: Malleability of commitments [DDN91]

Stand-alone notions do not guarantee “independence” among committed values.

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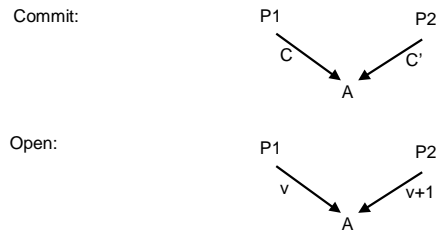
Stand-alone notions do not guarantee “independence” among committed values.

Commit:



## Example: Malleability of commitments [DDN91]

Stand-alone notions do not guarantee “independence” among committed values.



## How to guarantee security in complex protocol environments?

Traditional approach: keep writing more sophisticated definitions, that capture more scenarios...

- Ever more complex
- No guarantee that “we got it all”.
- No general view

An alternative approach:

- Prove security of a protocol as stand-alone (single execution, no other parties).
- Use a general **secure composition theorem** to deduce security in arbitrary execution environments.

## Universally Composable Security [C01]

Provides a framework where:

1. Can capture the security requirements of practically any cryptographic task.
2. Can prove a general, “universal composition” theorem that:
  - Guarantees security in arbitrary multi-protocol, multi-execution environments.
  - Enables modular design and analysis of protocols.

## The composition operation

(Originates with [MR91])

Start with:

- Protocol  $\rho^F$  that uses ideal calls to a “trusted party”  $F$
- Protocol  $\pi$  that “emulates”  $F$

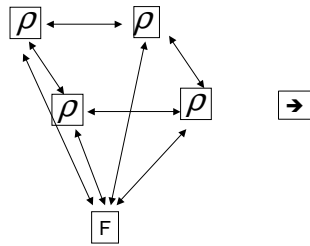
Construct the composed protocol  $\rho^\pi$ :

- Each call to  $F$  is replaced with an invocation of  $\pi$ .
- Each value returned from  $\pi$  is treated as coming from  $F$ .

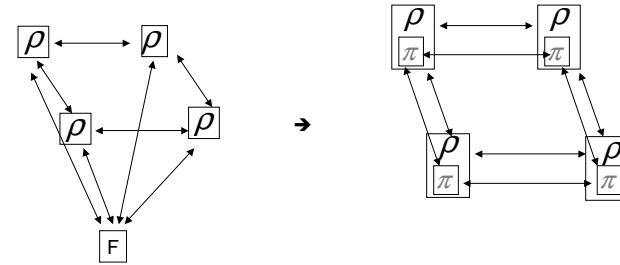
Note: In  $\rho^F$  parties may call many copies of  $F$ .

→ In  $\rho^\pi$  many copies of  $\pi$  run concurrently.

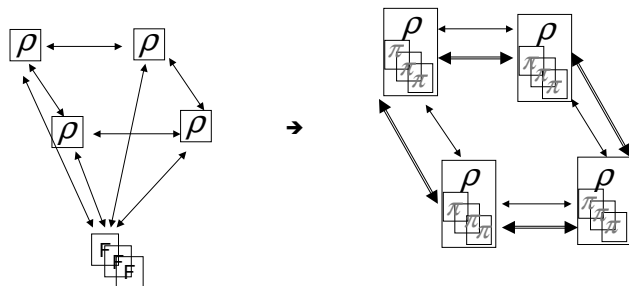
The composition operation  
(single call to F)



The composition operation  
(single call to F)



The composition operation  
(multiple calls to F)



The universal composition (UC) theorem:  
Protocol  $\rho^\pi$  “emulates” protocol  $\rho^F$ .

(That is, for any adversary A there exists an adversary A' such that no Z can tell whether it is interacting with ( , A) or with (  $\rho^F$ , A').)

Corollary: If  $\rho^F$  securely realizes functionality G then so does  $\rho^\pi$ .

## Implications of the UC theorem

1. Can design and analyze protocols in a modular way:
  - Partition a given task  $T$  to simpler sub-tasks  $T_1 \dots T_k$
  - Construct protocols for realizing  $T_1 \dots T_k$ .
  - Construct a protocol for  $T$  assuming ideal access to  $T_1 \dots T_k$ .
  - Use the composition theorem to obtain a protocol for  $T$  from scratch.

*(Analogous to subroutine composition for correctness of programs, but with an added security guarantee.)*

## Implications of the UC theorem

2. Assume protocol  $\pi$  “emulates” a trusted service  $F$ . Can deduce security of  $\pi$  in any multi-execution environment:

*As far as the “rest of the network” is concerned, interacting with (multiple copies of)  $\pi$  is equivalent to interacting with (multiple copies of)  $F$ .*

## Questions:

- do
- Are known protocols UC-secure?  
(Do these protocols “emulate” the trusted services associated with the corresponding tasks?)
- How to design UC-secure protocols? [zcyk02]

## Existence results: Honest majority

Thm: Can realize *any trusted service* in a UC way.  
(e.g. use the protocols of [BGW88, RB89, CFGN96]).

### Usages:

- All parties actively participate in computation
- Use a set of servers to realize the trusted service (secure as long as only a minority is corrupted).

## What if there is no honest majority? (e.g., two-party protocols)

- Known protocols (e.g., [Y86,GMW87]) do not work. (“black-box simulation with rewinding” cannot be used).
- Many interesting functionalities (commitment, ZK, coin tossing, etc.) cannot be realized in plain model.
- In the “common random string model” can do:
  - UC Commitment, UC Zero-Knowledge [CF01, DDOPS01,CLOS02, DN02, DG03]
  - Emulate any trusted service [CLOS02]

## The [GMW87] paradigm:

- 1) Construct a protocol secure against *semi-honest* adversaries (who follow the protocol specification):
    - Represent the “trusted party code” as a Boolean circuit (state represented as “feedback lines”)
    - Each party shares its input among all others (using a simple sum scheme)
    - The parties evaluate the circuit gate by gate. Each gate evaluation needs 1-out-of-4 oblivious transfer between any pair of parties.
    - Output lines are revealed to the corresponding parties. Shares of “feedback lines” kept.
- Works even in the UC model.

## The [GMW87] paradigm:

- 1) F
- 2) Construct a *compiler* that transforms protocols secure in the semi-honest model to protocols secure against malicious adversaries.

## [GMW87] Protocol Compilation

- **Aim:** force the malicious parties to follow the protocol specification.
- **How?**
  - Parties **commit** to inputs
  - Parties **commit** to *uniform* random tapes (use secure coin-tossing to ensure uniformity)
  - Parties use **zero-knowledge** protocols to prove that every message sent is according to the protocol (and consistent with the committed input and random-tape).

### Constructing a UC “[GMW87] compiler”

- Problem: In [GMW87], both commitment and ZK are not UC.
- First attempt: Replace commitment and ZK with UC counterparts.

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- Problem: In [GMW87], both commitment and ZK are not UC.
- First attempt: Replace commitment and ZK with UC counterparts.
  - Doesn’t work... (cannot make ZK proofs on “ideal commitments”)

### The “Commit-and-Prove” primitive

- Define a single primitive where parties can:
  - Commit to values
  - Prove “in ZK” statements regarding the committed values
- Can realize “C&P” in the CRS model (using UC commitment and UC ZK).
- Given access to ideal “C&P”, can do the [GMW87] compiler *without computational assumptions*.

### To sum up:

- Can “emulate” any trusted service in a universally composable way, with any number of faults.
- Main problem: Solution is typically very inefficient (to the point of being unrealistic)...



## Application to privacy

- Any privacy problem that has a “trusted service” solution is solvable in principle.
- Challenges:
  - Good specification of the “trusted privacy service.”
  - More realistic protocols.